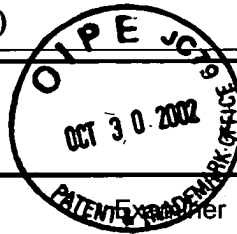


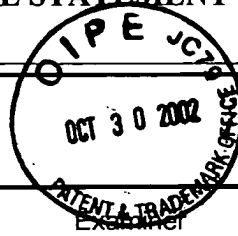
<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>(Under 37 CFR 1.97(b) or 1.97(c))</b>			Docket No. 2001US304
In Re Application Of: <b>Ralph R. Dammel and Raj Sakamuri</b>			
Serial No. 09/853,732	Filing Date May 11, 2001	Filing Office UNKNOWN	Group Art Unit 1756
Title: <b>PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY</b>			
<div style="text-align: center;"> Address to:  <b>Assistant Commissioner for Patents</b>  <b>Washington, D.C. 20231</b> </div> <div style="text-align: center; margin-top: 20px;"> <b>37 CFR 1.97(b)</b> </div> <p>1.   <input type="checkbox"/>   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <b>37 CFR 1.97(c)</b> </div> <p>2.   <input checked="" type="checkbox"/>   The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <input checked="" type="checkbox"/>   the statement specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-top: 10px;"> <b>OR</b> </div> <div style="margin-left: 40px; margin-top: 10px;"> <input type="checkbox"/>   the fee set forth in 37 CFR 1.17(p). </div>			



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2001US304

In Re Application: **Ralph R. Dammel and Raj Sakamuri**



Serial No.

09/853,732

Filing Date

May 11, 2001

Examiner

UNKNOWN

Group Art Unit

1756

**PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-2060 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$0.00
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
October 25, 2002 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Vivian Austin

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: **October 25, 2002**

**Sangya Jain, Reg. No. 38,504**

**Clariant Corporation**

**70 Meister Avenue**

**S merville, NJ 08876**

**telephone (908) 429-3536**

CC:



Attorney's Docket No. 2001US304

1756

#4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Ralph R. Dammel et al

Serial No.: 09/853,732

Group Art Unit: 1756

Filed: April 11, 2001

Examiner: UNKNOWN

RECEIVED  
OCT 31 2002  
TC 1700

For: PHOTORESIST COMPOSITION FOR DEEP ULTRAVIOLET LITHOGRAPHY

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT BEFORE  
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF  
ALLOWANCE (37 CFR 1.97(c))**

Sir:

**1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE  
STATEMENT**

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurs last but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

**2. CERTIFICATION OR FEE**

☐ Certification as specified in 37 CFR 1.97(e)

☒ I hereby certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ I hereby certify that no item of information contained in this information disclosure statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this information disclosure statement.

**OR**

FEE

- ☐ The fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$240.00)
- ☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$240.00)

Fee due \_\_\_\_\_

☐ METHOD OF PAYMENT OF FEE

Please charge deposit account no. 03-2060 in the amount of \$180.00. A duplicate of this request is attached. If any additional fees are due, please charge deposit account no. 03-2060.

3. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

4. COMPLETED FORM PTO-1449 IS ATTACHED.

5. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

☐ This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

The following references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

6. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 5 above.

7. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

- [ ] Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:
- [ ] No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.
- [ ] The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Sangya Jain  
Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
Telephone: 908-595-3890